



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Masashi GOTO et al.

Title: **DIELECTRIC FILM, ITS FORMATION METHOD,
SEMICONDUCTOR DEVICE USING THE DIELECTRIC
FILM AND ITS PRODUCTION METHOD**

Application No.: 10/726,870

Filing Date: 2 December, 2003

Examiner/Unit: Dao H. Nguyen / 2818

Attorney Docket No.: 2204-011-03

CERTIFICATE OF MAILING

I hereby certify that this communication, and any document being attached hereto,

☒ is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail addressed to: MS Amendments, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

on this 14TH day of December, 2005.


Laura J. Jordan

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR § 1.97 (as amended)**

TO THE COMMISSIONER FOR PATENTS:

In compliance with the duty of disclosure under 37 CFR § 1.56, Applicant submits herewith patents, publications, or other information for consideration during the examination of this application.

In accordance with 37 CFR § 1.97, the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made nor that the

information cited in the statement is or is considered to be "material" to patentability as defined in 37 CFR § 1.56(b).

No fees are believed to be due in connection with the filing of this Information Disclosure Statement, as applicant just recently received this reference via an office action in a Chinese counterpart application; however, should any fees be deemed necessary, the Commissioner is hereby authorized to deduct any necessary fees from Deposit Account No. 07-1897.

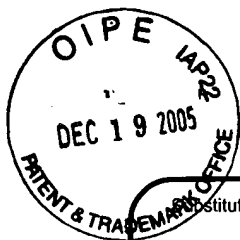
Copies of the foreign documents and non-patent literature documents cited in the attached form PTO SB-8a and 8b are attached.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP



Stephen M. Evans
Attorney for Applicant
Registration No. 37,128
155-108th Avenue N.E., Ste 350
Bellevue, WA 98004-5901
P: (425) 455-5575
F: (425) 455-1046
E: sevans@graybeal.com



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/726,870
Filing Date	2 December 2003
First Named Inventor	Masashi Goto et al.
Art Unit	2818
Examiner Name	Dao H. Nguyen
Attorney Docket Number	2204-011-03 / FPE32-14A

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	A1	US-			
	A2	US-			
	A3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			
	A12	US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	B1	JP2001-110802A	04-20-2001	Ikuta Shigeo		
	B2					
	B3					
	B4					
	B5					
	B6					
	B7					
	B8					
	B9					
	B10					

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.